

John R. Kasich, Governor
Thomas H. Bainbridge, Chairman
Jodie M. Taylor, Member
Karen L. Gillmor, Ph.D., Member

August 5, 2014

Randall J. Meyer
Office of the Inspector General
30 East Broad Street, Suite 2940
Columbus, OH 43215-3414

2014 AUG - 6 AM 8: 37
OFFICE OF
INSPECTOR GENERAL

Re: File ID No. 2013-CA00076
Response to Report of Investigation dated June 5, 2014

Dear Inspector General Meyer:

The Ohio Industrial Commission (OIC) has completed its review of your office's recommendations contained in the above-referenced report. I respectfully submit this response to you regarding the recommendations outlined in the report.

Inspector General's Recommendation #1:

Review the conduct of the employee named in this report of investigation and consider whether administrative action is warranted.

Response: Since the issuance of the above-referenced report, the OIC has reviewed the named employee's conduct and imposed a suspension in accordance with the IC's Disciplinary policy and the *Contract between the State of Ohio and the Ohio Civil Service Employees Association* 2012-2015.

Inspector General's Recommendation #2:

Determine if additional or remedial training for proper handling and accessing of CPI information and permitted uses of ICO computers and computer programs for ICO staff is warranted. This training should incorporate reminders of the requirements set forth in ICO policies including ADM007; HR062; IT002; and OAC §4121-15-03(E)

Response: The OIC provides on-going training and information to all employees regarding the CPI policy. Additionally, at the next Chairman's Manager Meeting, managers and supervisors will be provided additional training, which they will then share with their subordinates. Finally, the named employee was counseled and reminded about the CPI policy when the OIC issued her discipline.

Inspector General's Recommendation #3:

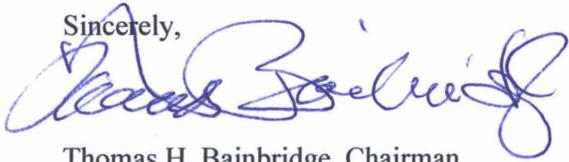
Consider establishing a new policy addressing the access of and use of ICO computer programs to access ICO and OBWC employees', relatives', and/or a close friend's claim files. This policy should document when such access is permissible, when a conflict would arise, and the steps to be followed for notifying

ICO management when such a conflict could occur. For those conflicts identified, the ICO should implement the appropriate computer controls to restrict access within the ICO computer programs to ensure the employee is unable to access the claim file for which there is a conflict.

Response: The OIC has reviewed its existing policies and determined that it will promulgate a new policy to address employee access of, and use of, ICO and OBWC computer programs to access ICO and OBWC employees' claim files as well as the claim files of employees' relatives and close friends.

If you have any additional questions or need more information, please contact me at (614) 466-5733.

Sincerely,



Thomas H. Bainbridge, Chairman
Ohio Industrial Commission